

In re Application of:

Group Art Unit: 2152

Atty. Dkt. No. 5181-95300

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below:

Rory D. Rankin

Registered Representative

6/30/03
Date

Date _____

Signature _____

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Technology Center 2100

Applicant requests consideration of ☒ the references listed on the attached Form PTO-
nd/or ☐ the additional information identified below in paragraph 3.

- ☒ A copy of each reference listed on the Form PTO-1449 is enclosed.
- ☐ The references listed on the Form PTO-1449 were previously cited in application serial no. _____ to which the captioned application is seeking priority under 35 U.S.C. §120; therefore, a copy of each reference is not enclosed.

a. ☐ within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d);

☐ within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application;

☒ before the mailing date of a first Office Action on the merits; or

☐ before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.


- b. ☐ after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: ☐ the certification of paragraph 2 below is provided, or ☐ a fee of \$180.00 is enclosed.
- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2. It is hereby certified:
- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.
3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
4. For each non-English language reference listed on the attached Form PTO-1449:
- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
- ☐ reference is made to the concise explanation set forth below:
5. ☐ Applicant also offers the following comments for the Examiner's consideration:
6. ☒ Also enclosed is a copy of a foreign search report citing these references.
7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure

Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.

8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 501505/5181-95300/RDR.

Respectfully submitted,


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Date: 4/30/03

**Form PTO-1449**

List of Patents and Publications
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

ATTY. DOCKET NO: 5181-95300
CLIENT REF. NO.: P6576

APPLICANTS:
Kenneth Y. Chiu

SERIAL NO: 09/996,510

Filing Date: 11/27/2001
Parent Group Art Unit: 2152

U.S. PATENT DOCUMENTS

Exam. Initials	Ref. Des	Document Number	Date	Name	Class	Sub Class	Filing date if appropriate
	B1	6,279,099	08/21/2001	Van Hook et al.			
	B2	5,933,157	08/03/1999	Van Hook et al.			
	B3	5,931,945	08/03/1999	Yung et al.			

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FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	B4	International Search Report; PCT/US 02/36414; Mailed 22/04/2003
	B5	HOREL T ET AL.: "Ultrasparc-III: Designing Third-Generation 64-Bit Performance" IEEE Micro, IEEE Inc. New York, US, vol. 19, no. 3, May 1999 (1999-05), pages 73-85
	B6	HEALD R ET AL.: "64-Kbyte Sum-Addressed-Memory Cache with 1.6-NS Cycle and 2.6-NS Latency" IEEE Journal of Solid-State Circuits, IEEE Inc. New York, US, vol. 33, no. 11, November 1998 (1998-11), pages 1682-1688

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.